ioner's Docket U 013385-3_ IN THE UNITED STATES PATENT AND TRADEMARK OFFICE In re application of: Rami VERBIN, et al. Serial No.: 09/825,189 Group No.: 2637 Deppe, Betsy Lee Filed: April 3, 2001 Examiner: For: COMMUNICATION START-UP WITH VARIANT SPECTRAL DENSITY MASK **Commissioner for Patents** P. O. Box 1450 Alexandria, VA 22313-1450 AMENDMENT TRANSMITTAL

WARNING:

Failure to file a complete response in compliance with § 1.135(c) leads to a reduction in patent term

adjustment - See § 1.704(c)(7).

1. Transmitted herewith is an amendment for this application.

		ST	ATUS					
2.	The a	pplication is qualified as						
		a small entity.						
	☒	other than a small entity.						
		CERTIFICATION UNDI (When using Express Mail, the Exp Express Mail cer	press Mail labe	el number is mandatory;				
I hereb	y certify th	hat, on the date shown below, this correspon	ndence is bein	g:				
		MA	AILING					
⊠		deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.						
		37 C.F.R. 1.8(a)		37 C.F.R. 1.10*				
⊠	with su	fficient postage as first class mail.		as "Express Mail Post Office to Address"				
		TRAN	SMISSION	Mailing babel No(mandatory)				
	transmi	itted by facsimile to the Patent and Tradema	ark Office, to (798),872,9306				
Date:	January	<u> </u>	Sign	//				
	Only th	ne date of filing (\$ 1.6) will be the date used	Krype	IFFORD J. MASS or print name of person certifying) n adjustment calculation, although the date on any				
-	certific	ate of mailing or transmission under § 1 🎉	continues to be	e taken into account in determining timeliness. See				
		(f). Consider "Express Mail Post Office to A ccorded the earliest possible filing date for		10) or facsimile transmission (§ 1.6(d)) for the reply ljustment calculations.				

(Amendment Transmittal—page 1 of 4) 9-19

PATENT

EXTENSION OF TERM

NOTE: "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

- NOTE: See 37 C.F.R. § 1.645 for extensions of time in interference proceedings, and 37 C.F.R. § 1.550(c) for extensions of time in reexamination proceedings.
- NOTE: 37 C.F.R. § 1.704(b)"... an applicant shall be deemed to have failed to engage in reasonable efforts to conclude processing or examination of an application for the cumulative total of any periods of time in excess of three months that are taken to reply to any notice or action by the Office making any rejection, objection, argument, or other request, measuring such three-month period from the date the notice or action was mailed or given to the applicant, in which case the period of adjustment set forth in § 1.703 shall be reduced by the number of days, if any, beginning on the day after the date that is three months after the date of mailing or transmission of the Office communication notifying the applicant of the rejection, objection, argument, or other request and ending on the date the reply was filed. The period, or shortened statutory period, for reply that is set in the Office action or notice has no effect on the three-month period set forth in this paragraph."
- 3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

(a) Applicant petitions for an extension of time under 37 C.F.R. 1.136 (fees: 37 C.F.R. 1.17(a)(1)-(4)) for the total number of months checked below:

	Extension (months)	Fee for other than small entity		ee for mall entity
	one month	\$ 120.00	\$	60.00
\boxtimes	two months	\$ 450.00	\$	225.00
	three months	\$ 1,020.00	\$	510.00
	four months	\$ 1,590.00	\$	795.00
	five months	\$ 2,160.00	\$ 1	,080.00

Fee: \$<u>450.00</u>

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

	An ex	ktension for months has already been secured. The fee paid therefor of
	\$	is deducted from the total fee due for the total months of extension
	now	requested.
		Extension fee due with this request \$
		OR
(b)		Applicant believes that no extension of term is required. However, this is a conditional petition being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY			OTHER THAN A SMALL ENTITY		
	Re	Claims maining After nendment	Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee	OR	Rate	Addit. Fee	
Total	*	Minus	**	=	x \$ 25	\$		x \$ 50=	\$	
Indep.	*	Minus	***	=	x \$ 100	\$		x \$ 200	\$	
□First	Preser	ntation of M	Iultiple Depend	lent Claims	+ \$180=	\$		+ \$360=	\$	
	To Addi					\$	OR	Total Addit. Fee	\$	

- * If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,
- ** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".
- *** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

 The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING:

"After final rejection or action (§ 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." $37\ C.F.R.\ 1.116(a)$ (emphasis added).

(complete (c) or (d), as applicable)

(c) No additional fee for claims is required.

OR

(d) Total additional fee for claims required \$ _____

FEE PAYMENT

5.	\boxtimes	Attached is a check in the sum of \$450.00		
		Charge Account No. 12-0425 the sum of \$		

A duplicate of this transmittal is attached.

FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. If any additional extension and/or fee is required, charge Account No. 12-0425.

AND/OR

If any additional fee for claims is required, charge Account No. 12-0425

Reg. No. 20,302

Tel. No. (212) 708-1887

SIGNATURE OF PRACTITIONER

JULIAN H. COHEN
(type or print name of practitioner,

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New York, N.Y. 10023

00140

PATENT TRADEMARK OFFICE